# Limits and the Ext Functor

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Abstract: We show the identity  $\operatorname{Ext}(\lim_{\leftarrow} X_{\alpha}, \mathbb{R}) = \lim_{\to} \operatorname{Ext}(X_{\alpha}, \mathbb{R})$  for projective limits of quasi-Banach spaces  $X_{\alpha}$ . The proof is derived from a pull-back lemma asserting that a topologically exact sequence  $0 \to \mathbb{R} \to E \to Z \to 0$  of locally pseudoconvex spaces is the pull-back of an exact sequence of quasi-Banach spaces. Among the consequences we show that exact sequences  $0 \to \mathbb{R} \to E \to Z \to 0$  of locally pseudoconvex spaces come induced by quasi-linear maps, which extends a result of Kalton for Fréchet spaces; and that projective limits of K-spaces are K-spaces.

Key words: Twisted sums, Ext functor, K-space.

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#### 1. INTRODUCTION

The purpose of this paper is to obtain the identity

$$\operatorname{Ext}(\lim X_{\alpha}, \mathbb{R}) = \lim \operatorname{Ext}(X_{\alpha}, \mathbb{R})$$

for projective limits of quasi-Banach spaces  $X_{\alpha}$ . This is interesting since it shows that the Ext functor behaves, in the category of quasi-Banach spaces, in a similar way as the  $\mathfrak{L}$  functor in the following sense: for each fixed A the contravariant functor  $\mathfrak{L}(\cdot, A)$  is right-adjoint of itself and therefore transforms inverse limits into direct limits. The functor  $\operatorname{Ext}(\cdot, \mathbb{R})$  does the same with respect to projective limits.

We will base our proof in a pull-back lemma of independent interest:

THEOREM 1.1. A topologically exact sequence

$$0 \to \mathbb{R} \to E \to Z \to 0$$

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of topological vector spaces in which Z is locally pseudoconvex (in the terminology of [6]) comes induced by a single quasi-linear map  $F: Z \to \mathbb{R}$ .

This extends a result of Kalton [7, Thm. 10.1] who proved the analogous result when Z is a Fréchet space. We think that what could deserve some interest is the method of proof, homological approach based on a pull-back lemma plus a suitable extension of the 3-lemma to the category of topological vector spaces. More precisely:

PULL-BACK LEMMA. A topologically exact sequence  $0 \to \mathbb{R} \to E \to Z \to 0$ of locally pseudoconvex spaces is the pull-back sequence of an exact sequence of quasi-Banach spaces.

The homological approach to the result we present consists of two steps: 1) To obtain a version of the 3-lemma suitable to work with topological vector spaces (where the open mapping can fail); from this we can derive an answer to a problem posed in [4, pag. 186]; namely, that a topological vector space Q such that every topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  splits is such that every twisted sum with a locally convex space is locally convex. In turn, this result is the natural extension of a theorem of Dierolf [2] for quasi-Banach spaces. 2) To prove a "pull-back lemma" asserting that if one has a topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  in which Q verifies some minimal assumption (to be pseudo-convex, in the language of Jarchow [6]) then no essential information is lost when one simply considers the sequence localized around a neighborhood of zero.

## 2. Preliminaries

General background on homology can be found in [5]. A background on exact sequences of quasi-Banach spaces sufficient for our purposes can be seen in [1]. An exact sequence in a suitable category (vector spaces and linear maps, topological vector spaces and linear continuous maps, etc) is a diagram  $0 \to Y \to X \to Z \to 0$  in the category with the property that the kernel of each arrow coincides with the image of the preceding. When an open mapping theorem exists (e.g., in quasi-Banach or Fréchet spaces) then it guarantees that Y is a subspace of X and the corresponding quotient X/Y is isomorphic to Z. Since we shall work in categories where no open mapping theorem exists we shall say that an exact sequence  $0 \to Y \xrightarrow{j} X \xrightarrow{q} Z \to 0$  is topologically exact when j is an into embedding and q is a continuous open map. For a more general background about twisted sums of quasi-Banach spaces and the theory of quasi-linear maps the reader is addressed to the monograph [1]. Here we are interested in the following facts:

Two exact sequences  $0 \to Y \to X \to Z \to 0$  and  $0 \to Y \to X_1 \to Z \to 0$  are said to be equivalent if there exists an arrow  $T : X \to X_1$  making commutative the diagram

This definition makes sense in the categories of quasi-Banach or Fréchet spaces where the open map theorem works, making T an isomorphism. An exact sequence is said to split if it is equivalent to the trivial sequence  $0 \to Y \to Y \oplus Z \to Z \to 0$ .

PUSH-OUT CONSTRUCTION. Let  $A : K \to Y$  and  $B : K \to X$  be two arrows in a given category. The *push-out* of  $\{A, B\}$  is an object  $\Lambda$  and two arrows  $u : Y \to \Lambda$  and  $v : Y \to \Lambda$  in the category such that uA = vB; and with the property that given another object  $\Gamma$  and two arrows  $\alpha : Y \to \Gamma$ and  $\beta : X \to \Gamma$  in the category verifying  $\alpha A = \beta B$  then there exists a unique arrow  $\gamma : \Lambda \to \Gamma$  such that  $\beta = \gamma v$  and  $\alpha = \gamma u$ .

In the category of Hausdorff topological vector spaces push-outs exist. The push-out of two arrows  $A: Y \to M$  and  $B: Y \to X$  is the quotient space  $\Lambda = M \oplus X/\overline{\Delta}$ , where  $\overline{\Delta}$  is the closure of  $\{(Ay, -y) : y \in Y\}$ , together with the restriction of the canonical quotient map  $M \oplus X \to \Lambda$  to, respectively, M and X.

We are interested in the following property of the push-out construction

LEMMA 2.1. Given a topologically exact sequence  $0 \to Y \xrightarrow{j} X \xrightarrow{p} Z \to 0$ of topological vector spaces and a linear continuous map  $T: Y \to M$  and if PO denotes the push-out of the couple  $\{j, T\}$  then there is a commutative diagram

with topologically exact (lower) row.

*Proof.* Observe that since  $0 \to Y \xrightarrow{j} X \xrightarrow{p} Z \to 0$  is topologically exact,  $\Delta$  is closed, and the sequence  $0 \to Y \to M \oplus X \to PO \to 0$ , with injection

i(y) = (Ty, y) and quotient map  $q(m, x) = (m, x) + \Delta$  is topologically exact and PO is Hausdorff. The only undefined arrow is  $Q : PO \to Z$ , which is given by  $Q((m, x) + \Delta) = px$ . The commutativity of the right square and the continuity of the induced arrow  $u : X \to PO$  yield that  $Q : PO \to Z$  is open: if V is a neighborhood of 0 in PO then  $q(u^{-1}(V)) = Q(uu^{-1}(V)) \subset Q(V)$ . So, we prove that  $J : M \to PO$  is an into isomorphism. The continuity of J is by definition, so we prove that it is open. Let thus  $U_M$  be a neighborhood of zero in M. We choose  $V_M$  a neighborhood of zero in M such that  $V_M - V_M \subset U_M$ and then  $U_X$ , a neighborhood of 0 in X, such that  $U_X \cap Y \subset T^{-1}(V_M)$ . Let us show that  $q(V_M \times U_X) \cap M \subset U_M$ . Since  $q(V_M \times U_X) \cap M$  coincides with

$$\{(m,x) + \Delta : m \in V_M, x \in U_X, \exists n \in M : (m,x) - (n,0) \in \Delta\}$$

then m = n + Ty and x = y for some  $y \in U_X \cap Y$ ; hence  $Ty \in V_M$ , and since  $m \in V_M$  then  $m - Ty \in V_M - V_M \subset U_M$  and the proof is complete.

PULL-BACK CONSTRUCTION. The dual notion to that of push-out is the pull-back. Let  $A : X \to Z$  and  $B : M \to Z$  be two arrows in a given category. The *pull-back* of  $\{A, B\}$  is an object  $\Lambda$  and two arrows  $u : \Lambda \to X$  and  $v : \Lambda \to M$  in the category such that Au = Bv; and with the property that given another object  $\Gamma$  and two arrows  $\alpha : \Gamma \to X$  and  $\beta : \Gamma \to M$  in the category verifying  $A\alpha = B\beta$  then there exists a unique arrow  $\gamma : \Gamma \to \Lambda$  such that  $\beta = v\gamma$  and  $\alpha = u\gamma$ .

In the category of Hausdorff topological vector spaces pull-backs exist. The pull-back of two arrows  $A: X \to Z$  and  $B: M \to Z$  is the subspace  $PB = \{(x,m) \in X \times M : Ax = Bm\}$  endowed with the product topology induced by  $X \oplus M$  and the corresponding restrictions of the canonical projections. The inclusion  $Y \to PB$  is given by  $y \to (y, 0)$ . We are interested in the following property of the pull-back contruction; the proof is left to the reader.

LEMMA 2.2. Given a topologically exact sequence  $0 \to Y \xrightarrow{j} X \xrightarrow{p} Z \to 0$ of topological vector spaces and a linear continuous map  $T: M \to Z$  and if PB denotes the pull-back of the couple  $\{q, T\}$  then there is a commutative diagram

0	$\rightarrow$	Y	$\rightarrow$	X	$\rightarrow$	Z	$\rightarrow$	0
				$\uparrow$		$\uparrow T$		
0	$\rightarrow$	Y	$\rightarrow$	PB	$\rightarrow$	M	$\rightarrow$	0

in which the lower row is topologically exact.

2.1. TWISTED SUMS OF QUASI-BANACH SPACES. Exact sequences  $0 \to Y \to X \to Z \to 0$  of quasi-Banach spaces correspond to homogeneous maps  $F: Z \curvearrowright Y$  (we use this notation to stress the fact they are not usually linear) with the property that there exists a constant K such that for each two points  $x, y \in Z$ 

$$||F(x+y) - F(x) - F(y)|| \le K(||x|| + ||y||).$$

Such maps are called *quasi-linear*.

Indeed, if one has an exact sequence  $0 \to Y \to X \to Z \to 0$  then a quasi-linear map  $F: Z \to Y$  can be obtained by considering a homogenous bounded selection  $B: Z \to Y$  for the quotient map, then a linear (non-continuous) selection  $L: Z \to Y$  for the quotient map, and making their difference F = B - L. Conversely, if one has a quasi-linear map  $F: Z \to Y$  then endowing the product space  $Y \times Z$  with the quasi-norm

$$||(y,z)|| = ||y - Fz|| + ||z||$$

one obtains a quasi-Banach space denoted  $Y \oplus_F Z$  for which there exists an exact sequence  $0 \to Y \to Y \oplus_F Z \to Z \to 0$ . The quasi-Banach space  $Y \oplus_F Z$  is called a *twisted sum of* Y and Z. Of course, the two processes are, in a very specific sense, inverse one of the other. This is the theory created by Kalton [7] and Kalton and Peck [9]. The reason to consider non-locally convex spaces is that twisted sums of locally convex spaces are not necessarily locally convex: Ribe [11] and Kalton [8] showed the existence of an exact sequence  $0 \to \mathbb{R} \to E \to l_1 \to 0$  that does not split. Since  $\mathbb{R}$  is uncomplemented, the space E cannot be locally convex.

Working with topological vector spaces, efforts have been made to mimicry this part of the theory in a non-locally bounded ambient (see [4]). Nevertheless, when  $Y = \mathbb{R}$  Kalton [7] defines quasi-linear map  $F : Q \curvearrowright \mathbb{R}$  as a homogeneous map so that for some continuous seminorm  $n(\cdot)$  on Q one has:

$$|F(x+y) - F(x) - f(y)| \le C(n(x) + n(y)).$$

With a quasi-linear map  $F: Q \curvearrowright \mathbb{R}$  one can construct an exact sequence  $0 \to \mathbb{R} \to \mathbb{R} \oplus_F Q \to Q \to 0$  endowing the product space  $\mathbb{R} \times Q$  with the family of quasi-seminorms

$$q_{\alpha}(r,x) = |r - Fx| + p_{\alpha}(x)$$

where  $\{p_{\alpha}\}$  runs through the gauge functionals of a fundamental system of neighborhoods of Q. The inclusion map  $r \to (r, 0)$  is clearly continuous while

the surjective map q(r, x) = x is continuous and open. Hence  $\mathbb{R} \oplus_F Q$  is a topological vector space which is complete when so is Q.

Nonetheless, it is by no means clear that a topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  can be defined by a single quasi-linear map  $F: Q \curvearrowright \mathbb{R}$ . Nevertheless, Kalton [7] succeeds in showing that a sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  can be defined by a single quasi-linear map when Q and E are Fréchet spaces. Given a topological vector space E then  $\mathcal{U}(E)$  denotes a fundamental system of closed balanced neighborhoods of zero. If the topology of E comes defined by a family of semi-quasi-norms, then given such a semi-quasi-norm p with unit ball U we denote by  $E_U$  the quotient vector space  $E/\ker p_U$  endowed with the quasi-norm  $\|\phi_U(x)\|_U = p_U(x)$ ; obviously,  $\phi_U: E \to E_U$  is the quotient map.

#### 3. Two algebraic lemmata

3.1. THE 3-LEMMA FOR TOPOLOGICAL VECTOR SPACES. In the quasi-Banach or Fréchet space setting a simple consequence of the open mapping theorem and the 3-lemma is that twisted sums giving equivalent exact sequences are isomorphic. In topological vector spaces no open mapping exists, in general; nevertheless, the 3-lemma still works.

PROPOSITION 3.1. (THE 3-LEMMA FOR TOPOLOGICAL VECTOR SPACES) Assume that one has a commutative diagram

in the category TVS of topological vector spaces and linear continuous maps, with topologically exact rows. Then T is a topological isomorphism.

Proof. Consider on X the initial vector space topology  $\tau_X$  induced by T (namely, the vector space topology in which a typical basic neighborhood of 0 has he form  $T^{-1}(U)$  for some neighborhood of zero U in  $X_1$ ). Since T is continuous,  $\tau \leq \tau_X$ . Since  $T|Y = id_Y$  it turns out that  $\tau_X|Y \leq \tau|Y$ . And since the right square is commutative and the arrows  $X \to Z$  and  $X_1 \to Z$ are quotient maps,  $\tau_X/Y \leq \tau/Y$ . Thus, using the following result of Dierolf and Schwanengel [3] Let G be a group and  $H \subset G$  be a subgroup. Let  $\tau, \tau_1$  be group topologies on G such that  $\tau_1 \subset \tau$ . If  $\tau|H = \tau_1|H$  and  $\tau/H = \tau_1/H$  then  $\tau = \tau_1$ ; we get  $\tau = \tau_X$ , which makes T open. As we have already said, examples of Ribe and Kalton [11, 8] show that a twisted sum of locally convex spaces can be non-locally convex. A theorem of Dierolf [2] ensures that if all twisted sums of  $\mathbb{R}$  and X are locally convex then all twisted sum of any Banach space Y and X are locally convex as well. As a consequence we give the following result that extends Dierolf's theorem (the question of whether such extension was possible was posed in [4] under the form: do locally convex K-spaces coincide with TSC-spaces?

THEOREM 3.1. Let Q be a locally convex space such that every topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  splits if and only if every twisted sum of a locally convex space Y and Q is locally convex.

*Proof.* Assume that Q is a locally convex topological vector space such that every topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  splits. Let Y be a locally convex space and let  $0 \to Y \to G \to Q \to 0$  be a topologically exact sequence. If  $f : Y \to \mathbb{R}$  is a linear continuous functional then the push-out diagram

and the fact that the lower sequence splits show that f can be extended to a linear continuous functional on G. Thus Y is a topological vector subspace of G endowed with its Mackey  $(G, G^*)$ -topology. It is a simple matter to verify that the induced quotient topology on Q is the original topology of Q. So the Mackey and the starting topology must coincide on G since they induce the same topologies in both Y and G/Y.

### 3.2. A PULL-BACK LEMMA.

LEMMA 3.1. Let  $0 \to \mathbb{R} \to E \to Q \to 0$  be a topologically exact sequence of topological vector spaces in which the topology of Q comes defined by a family of semi-quasi-norms. Then there exists a neighborhood  $U \in \mathcal{U}(Q)$ , a quasi-Banach space X and an operator  $\tau : X \to \hat{Q}_U$  such that

is a pull-back diagram.

Proof. Assume that  $\mathbb{R} = \langle u \rangle$ . Let  $U \in \mathcal{U}(E)$  such that  $p_U(u) = 1$ . Since q is open, q(U) is a neighborhood of zero in Q. Let  $(U_n)$  be a chain of neighborhoods of zero in E starting with U; i.e., a sequence of neigborhoods of zero such that  $U_{n+1} + U_{n+1} \subset U_n$  for all  $n \in \mathbb{N}$ . Let  $\cap = \cap_{n \in \mathbb{N}} U_n$ . It is easy to see that  $\cap$  is a vector space. Moreover, the application  $\overline{q}_U : E/\cap \to Q_{qU}$  given by  $\overline{q}_U(x + \cap) = \phi_{qU}q(x)$  is well defined and gives the commutative diagram

If we endow  $E/\cap$  with the quotient topology induced by the chain  $\{U_n\}_{nin\mathbb{N}}$ then the diagram can be considered in the category of Hausdorff topological vector spaces. The lower row is topologically exact and the map  $j : \mathbb{R} \to \ker \overline{q_U}$ is an into isomorphism since  $p_U(u) \neq 0$ . To simplify notation let us call  $V = U_2$ and  $W = U_3$ . Observe that the diagram

Observe now that the points x such that  $x + \cap \in \ker \overline{q_V}$  are those satisfying that for all  $\varepsilon > 0$  there exists some  $\lambda > 0$  and some  $v \in V$  such that  $x \varepsilon v + \lambda u$ . If a point x can be written in two different forms

$$x = \varepsilon v_1 + \lambda_1 u = \varepsilon v_2 + \lambda_2 u$$

then  $\varepsilon(v_1 - v_2) = (\lambda_2 - \lambda_1)u$  and thus

$$|\lambda_2 - \lambda_1| = p_U((\lambda_2 - \lambda_1)u) = p_U(\varepsilon(v_1 - v_2)) \le \varepsilon p_U(v_1 - v_2) \le \varepsilon.$$

This implies that if  $\lambda(\varepsilon, x)$  is a family of scalars such that  $x = \varepsilon v_{\varepsilon} + \lambda(\varepsilon, x)u$  then  $\lim_{\varepsilon \to 0} \lambda(\varepsilon, x)$  exists for  $x + \cap \in \ker \overline{q_V}$ . Moreover, such limit is independent of the choice of the neighborhood  $W \subset V$  (as long as  $x + \cap \in \ker \overline{q_W}$ ). We can define a linear projection  $L : \ker \overline{q_V} \to \langle p \rangle$  by the formula

$$L(x) = \lim_{\varepsilon \to 0} \lambda(\varepsilon, x) u.$$

The map L is continuous restricted to ker  $\overline{q_W} \rightarrow$  since for small  $\varepsilon$ 

$$(Lx)u = (Lx)u - \lambda(\varepsilon, x)u + \lambda(\varepsilon, x)u - x + x$$
  
=  $(Lx - \lambda(\varepsilon, x))u + (\lambda(\varepsilon, x)u - x) + x$   
 $\in \varepsilon_1 U_{n+2} + \varepsilon U_{n+2} + p_{U_{n+1}}(x)U_{n+1}$   
 $\subset \varepsilon U_{n+1} + p_{U_{n+1}}(x)U_{n+1}$   
 $\subset 2p_{U_{n+1}}(x)U_n;$ 

and thus  $p_{U_n}(L(x)u) \leq 2p_{U_{n+1}}(x)$  and L is continuous.

Consider now the commutative diagram

The exactness of the last sequence (completion of the previous line) is a rather standard consequence of the open mapping theorem (see [9]; or else [1]). Thus, if  $\phi_q W$  is understood as a map  $Q \to \widehat{Q_{qW}}$ , one can construct the pull-back diagram

It only remains to prove that this last sequence is topologically equivalent to the starting one. But the universal property of the pull-back gives a connecting map  $E \rightarrow PB$  making commutative the diagram

Now, the 3-lemma we obtained at 3.1 shows that the two sequences are topologically equivalent.  $\blacksquare$ 

# 4. Using the pull-back lemma

From here we obtain the result we wanted.

THEOREM 4.1. A topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$ of topological vector spaces in which the topology of Q comes induced by a family of semi-quasi-norms is defined by a quasi-linear map  $F: Q \to \mathbb{R}$ 

*Proof.* By the standard theory of exact sequences of quasi-Banach spaces, the sequence

$$0 \to \mathbb{R} \to \widehat{PO} \to \widehat{Q_{qW}} \to 0$$

is equivalent to some sequence

$$0 \to \mathbb{R} \to \mathbb{R} \oplus_F \widehat{Q_{qW}} \to \widehat{Q_{qW}} \to 0$$

defined by some quasi-linear map  $F : \widehat{Q_{qW}} \to \mathbb{R}$ . It only remains to observe that in a pull-back square

the pull-back sequence is defined by the quasi-linear map  $F\phi_{qW}$ . We state this in a separate lemma:

LEMMA 4.1. Let  $0 \to \mathbb{R} \to E \to Q \to 0$  be a topologically exact sequence of topological vector spaces defined by a quasi-linear map  $G : Q \curvearrowright \mathbb{R}$  and let  $T : V \to Q$  be a linear continuous map. Then the pull-back sequence is equivalent to the sequence defined by the quasi-linear map GT.

*Proof.* One only has to appeal to the 3-lemma for topological vector spaces once observed that there exists a linear continuous map u making commutative the diagram

The definition of u is u(r, v) = (r, Tv). It clearly makes the diagram commutative. As for the continuity, if A is a neighborhood in F and B is a neighborhood in V so that  $p_A(Tv) \leq c(A, B)p_B(v)$  then

$$|r - GTv| + p_A(Tv) \le |r - GTv| + c_{AB}p_B(v) \le c(A, B)(|r - GTv| + p_B(v)).$$

This completes the proof of the lemma and the theorem.

A topological vector space X is said to be a K-space when every exact sequence  $0 \to \mathbb{R} \to E \to X \to 0$  splits (i.e., every quasi Banach space E such that  $E/\mathbb{R} = X$  is locally convex). We have:

PROPOSITION 4.1. A projective limit of quasi-Banach K-spaces is a K-space.

*Proof.* If Q is a projective limit of quasi-Banach K-spaces then every topologically exact sequence  $0 \to \mathbb{R} \to E \to Q \to 0$  of topological vector spaces is the pull-back sequence of some sequence  $0 \to \mathbb{R} \to X \to \widehat{Q}_U \to 0$  of quasi-Banach spaces. One can also choose  $U \subset V$  with  $\widehat{Q}_V$  a K-space. Hence  $0 \to \mathbb{R} \to X \to \widehat{Q}_U \to 0$  splits, and so does  $0 \to \mathbb{R} \to E \to Q \to 0$ .

From this and the pull back it immediately follows

THEOREM 4.2. Let  $\lim_{\leftarrow} X_{\alpha}$  be a projective limit of quasi-Banach spaces. Then

$$\operatorname{Ext}(\lim X_{\alpha}, \mathbb{R}) = \lim \operatorname{Ext}(X_{\alpha}, \mathbb{R}).$$

*Proof.* The pull-back lemma yields for every element  $F \in \text{Ext}(\lim_{\leftarrow} X_{\alpha}, \mathbb{R})$  an inductive family  $(F_{\alpha})$  with  $F_{\alpha} \in \text{Ext}(X_{\alpha}, \mathbb{R})$ . The converse is clear.

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