

Acoustics

Elsevier Editorial System(tm) for Applied

Manuscript Draft

Manuscript Number:

Title: Study on the relation between urban planning and noise pollution

Article Type: Research Paper

Section/Category: Europe and Rest of the World

Keywords: Traffic noise; urban form; urban planning; environmental decision support.

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Abstract: Different streets of a city of Spain were randomly selected and analyzed, extracting 135 different urban variables. The urban variables were compared with measured noise levels, and the possible significance in the relationships among them was analyzed. From the variables with a significant correlation, a multiple regression model for urban traffic noise was developed, which allows explaining 63% of the variability of urban noise. In this regression model, only eight of the initial urban variables were included.

The obtained model was validated, and its prediction capacity was analyzed with 30 new randomly selected independent sampling points, showing a global uncertainty lower than 2 dBA, similar to that obtained in noise mapping techniques.

The proposed methodology could be extrapolated to other cities, and the obtained models could be an important tool for city planning agents.

Study on the relation between urban planning and noise pollution

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Abstract

Different streets of a city of Spain were randomly selected and analyzed, extracting 135 different urban variables. The urban variables were compared with measured noise levels, and the possible significance in the relationships among them was analyzed. From the variables with a significant correlation, a multiple regression model for urban traffic noise was developed, which allows explaining 63% of the variability of urban noise. In this regression model, only eight of the initial urban variables were included.

The obtained model was validated, and its prediction capacity was analyzed with 30 new randomly selected independent sampling points, showing a global uncertainty lower than 2 dBA, similar to that obtained in noise mapping techniques.

The proposed methodology could be extrapolated to other cities, and the obtained models could be an important tool for city planning agents.

Keywords: Traffic noise; urban form; urban planning; environmental decision support.

Highlights:

- A model for urban noise prediction with only urban variables is presented.
- The explicated variability of urban noise was higher than 60%.
- The predictive capacity was analyzed with average differences lower than 2 dBA.
- The proposed methodology can be also applied to other cities.

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4 **1. Introduction**
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7 In the last centuries, the economic success associated with cities has led to an important
8 growth in the population living in them (Glaeser, 2012). In addition, how modern cities
9 represent a savings of energetic aspects and a reduction in the pollutants emitted by
10 citizens is considered (Owen, 2009). Nevertheless, the urbanistic design of several
11 modern cities, which is generally conditioned by road traffic, has produced an increase in
12 noise pollution. Thus, a recent publication by the World Health Organization points out
13 that noise pollution ranked second among a series of environmental stressors for the
14 public health impact in European countries (WHO, 2011). Adequate city planning could
15 reduce the effect of this important environmental problem and, besides, could result in
16 profits in terms of the reduction of other atmospheric pollutants, considering the known
17 correlation between some of them (Jaeger et al., 2008; Weber et al., 2014).
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21 The functionality of streets as a communication path among different parts of a city and
22 between a city and other urban areas (an alternative concept to accessibility) has been
23 shown to be associated with noise pollution (Rey et al., 2013). Functionality is clearly
24 conditioned by the urban planning of our cities. So, the geometry of the street, and the
25 pavement type, street width, average street height, etc., are factors with influence on the
26 use of a street. The influence of urban forms on vehicle transport or a street environment
27 has been previously studied (Tang & Wang, 2007; Givargis & Karimi, 2010). A model of
28 urban noise has also been developed without propagation expressions but using the data
29 of traffic flow and traffic conditions and some urbanistic variables (Torija et al., 2010).
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33 To our knowledge, until now, no model for estimating urban noise based only on urban
34 variables (and, thus, excluding variables related to traffic) has been proposed. This paper
35 presents the first results achieved in this line. Obtaining a model like the one previously
36 described could be an important tool for city planning agents, and, besides, it could help
37 to improve the noise predictions of current noise maps. It is not an objective of our work
38 to present a unique and comparable model but to begin the study of this possibility by
39 analyzing a medium-sized town of Spain.
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44 **2. Methods**
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47 154 streets of the city of Cáceres (a medium-sized city located in the southwest of Spain
48 with about 95000 inhabitants) were randomly chosen. Previously, this city was also used
49 in the initial development of a categorization method, which was applied afterwards to
50 other cities with very different sizes and locations (Barrigón et al. 2002; Rey Gozalo et
51 al., 2013). In each street, sound measurements were carried out in diurnal period (from 7
52 a.m. to 7 p.m.) following the ISO 1996-2:2007 guidelines. Sound measurements were
53 conducted in four different time periods throughout the diurnal period in distinct days to
54 include the variability in the cycle of activity in the city. Moreover, each street was
55 characterized by 135 urban variables, which could be classified in the following groups:
56 1) location of the street and demography, 2) urban land use, 3) street geometry, 4)
57 circulation and connectivity and 5) public and private transport.
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6 After characterization of the streets and noise measurements, the relationships among the
7 urban variables (independent variables) and measured equivalent sound levels [Leq
8 (dBA)] were analyzed. For this analysis, Spearman's correlation coefficient (R) was used.
9 This non-parametric test was chosen as some of the studied variables did not present a
10 normal distribution, and, in other cases, the small number of samples in some variables
11 meant that the normality of the data was doubtful. This test was also used to study the
12 possible relationship among independent variables (collinearity).
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15 The urban variables with a significant correlation with sound levels (p-value < 0.05) and
16 without collinearity among them [$R^2 < 0.9$ (Kleinbaum et al., 1988)] were chosen for the
17 multiple linear regression model. The categorical variables and the quantitative variables
18 with low frequency of occurrence (generally associated to the type of land use) were
19 transformed into dummy variables (No = 0 and Yes = 1). Then, the potential impact of
20 each urban variable on the estimation of the Leq (dBA) was analyzed. For this purpose, a
21 stepwise multiple linear regression analysis was carried out. The model selected was
22 stepAIC (Venables & Ripley, 2002). Although stepAIC labels the criterion in the output
23 as "AIC", the Bayesian information criterion (BIC) was employed as a selection criterion.
24 The AIC penalizes the number of parameters less strongly than the BIC does. The
25 direction selection "backward/forward" and "forward/backwards" was used.
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30 Once the multiple linear regression model was obtained, it was validated for normality
31 (Shapiro-Wilk test), homoscedasticity (Breusch-Pagan test) and linearity (RESET test).
32 Additionally, the absence of multicollinearity was verified by using the variance inflation
33 factor (VIF).
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36 Finally, the prediction capacity of the regression model was analyzed with 30 new
37 independent sampling points located in different streets of the city, randomly selected.
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41 **3. Results and Discussion**

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43 Firstly, as previously mentioned, the possible significance in the relationships between
44 urban variables and noise levels [Leq (dBA)] was studied. In Table 1, only those
45 variables whose relationship with noise levels was significant and which did not present
46 collinearity problems are presented. As can be seen, only 52 urban variables of the 135
47 variables initially selected showed a significant correlation (p-value < 0.05) with respect
48 to Leq (dBA) and did not present collinearity problems.
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51 Some of these variables had been used in previous studies to obtain a noise urban model
52 or to be correlated with noise levels (Torija et al., 2010; Salomons & Pont, 2012).
53 Nevertheless, in these previous studies, these variables were treated as associated to the
54 noise source (traffic). In the present study, the approach is different and original as only
55 the relationship of noise levels with urban characteristics is considered, without
56 considering any variable associated with traffic.
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Table 1 – List of urban variables whose Spearman correlation coefficients were significant with respect to Leq (dBA). (***) p-value < 0.001; (**) p-value < 0.01; (*) p-value < 0.05.

Variables	Meaning	Value Range	R
V ₁	Number of inhabitants	[0-1288]	0.296***
V ₂	Presence of gyms	[0,1]	0.182*
V ₃	Presence of pubs	[0,1]	0.395***
V ₄	Presence of bullring	[0,1]	0.194*
V ₅	Presence of relaxation areas	[0,1]	0.223**
V ₆	Presence of walking areas	[0,1]	0.198*
V ₇	Presence of commercial areas	[0,1]	0.437***
V ₈	Presence of public administration buildings	[0,1]	0.267***
V ₉	Presence of private administration buildings	[0,1]	0.350***
V ₁₀	Presence of schools	[0,1]	0.322***
V ₁₁	Presence of high schools	[0,1]	0.196*
V ₁₂	Presence of academies	[0,1]	0.196*
V ₁₃	Presence of schools of music	[0,1]	0.168*
V ₁₄	Presence of university buildings	[0,1]	0.262**
V ₁₅	Presence of hospitals	[0,1]	0.168*
V ₁₆	Presence of health centers	[0,1]	0.193*
V ₁₇	Presence of student housings	[0,1]	0.264***
V ₁₈	Length (m)	[22-1414]	0.502***
V ₁₉	Width (m)	[3.4-48.2]	0.566***
V ₂₀	Mean height of buildings (m)	[0-30.5]	0.204*
V ₂₁	Number of lanes in the city exit direction	[0-2]	0.462***
V ₂₂	Number of lanes in the city entrance direction	[0-2]	0.515***
V ₂₃	Number of service lanes in the city exit direction	[0,1]	0.265***
V ₂₄	Number of service lanes in the city entrance direction	[0,1]	0.280***
V ₂₅	Equivalent length of parallel-parking places (m)	[0-377]	0.197*
V ₂₆	Type "U" street geometry	[0,1]	-0.230**
V ₂₇	Type "mix" street geometry	[0,1]	0.193*
V ₂₈	Connection with national roads	[0,1]	0.386***
V ₂₉	Number of traffic lights	[0-17]	0.595***
V ₃₀	Number of pedestrian crossings	[0-16]	0.320***
V ₃₁	Number of exit crossings in the city exit direction	[0-18]	0.364***
V ₃₂	Number of entrance crossings in the city exit direction	[0-17]	0.368***
V ₃₃	Number of exit crossings in the city entrance direction	[0-18]	0.344***
V ₃₄	Number of entrance crossings in the city entrance dir.	[0-18]	0.380***
V ₃₅	Number of direction changes when leaving the city	[0-7]	0.500***
V ₃₆	Number of direction changes when going into the city	[0-6]	0.511***
V ₃₇	Number of distribution nodes	[0-1]	0.165*
V ₃₈	Number of urban bus stops	[0-5]	0.485***
V ₃₉	Number of urban bus lines	[0-11]	0.531***
V ₄₀	Number of taxi stops	[0-1]	0.213**
V ₄₁	Parking surface (m ²)	[0-19902]	0.232**
V ₄₂	Number of gas stations	[0-2]	0.206*
V ₄₃	Number of long-distance bus lines	[0-2]	0.173*
V ₄₄	Number of urban wastes collecting points	[0-10]	0.251**
V ₄₅	Pavement surface "good" condition	[0,1]	0.317***
V ₄₆	Pavement surface "fair" condition	[0,1]	-0.197*
V ₄₇	Pavement surface "bad" condition	[0,1]	-0.258**
V ₄₈	Asphalt pavement	[0,1]	0.301***
V ₄₉	Paved pavement	[0,1]	-0.198*
V ₅₀	Concrete pavement	[0,1]	-0.231**
V ₅₁	Number of street directions	[1,2]	0.229**
V ₅₂	Total number of parking lot places	[0-2080]	0.268***

The multiple regression analysis of all the urban variables presented in Table 1 leads to the following results:

- Multiple R^2 : 0.72
- F-statistic: 5.42 (p-value < 0.001)
- BIC: 1113.55

The multiple R^2 value obtained was high, although the BIC value was also high. According to the *t test*, a major part of the variables included in the model did not contribute significantly to the explanation of the variability of sound levels. Therefore, secondly, as noted in the previous chapter, we opted for a stepwise multiple linear regression analysis. The model selected was stepAIC and the coefficients of the resulting model are shown in Table 2:

Table 2 – Coefficients of the resulting model. Dependent variable: *Leq* (dBA)

Model	Estimate	Std. Error	t value	Sig
(Intercept)	47.12	1.23	38.35	< 0.001
V ₁₉	0.26	0.05	4.90	< 0.001
V ₂₉	0.47	0.15	3.18	< 0.01
V ₁₇	8.50	1.85	4.59	< 0.001
V ₇	3.40	0.78	4.35	< 0.001
V ₂₄	8.22	2.22	3.71	< 0.001
V ₁₀	3.13	1.09	2.88	< 0.01
V ₄₅	2.78	1.16	2.41	< 0.05
V ₃₄	0.28	0.12	2.30	< 0.05

The multiple regression analysis of the variables presented in Table 2 leads to the following results:

- Multiple R^2 : 0.63
- F-statistic: 30.32 (p-value < 0.001)
- BIC: 950.85

As can be seen, the resulting model, with only eight street characteristics, leads to an explanation of 63% of the variability of sound levels. Analyzing the street characteristics included in the model, three of them correspond to urban land use [V₇: Presence of commercial areas; V₁₀: Presence of schools and V₁₇: Presence of student housings]. This is an important fact to be considered in urban planning and indeed is indicated in some international regulations (EC, 2002). Another variable of the model is the width of the street (V₁₉), which is a variable used in some administrations for road stratification and which was also used in some studies of urban noise stratification (Suárez & Barros, 2014). The variable “number of traffic lights” (V₂₉), also present in the obtained regression model, is related with street functionality as previously described (Rey Gozalo et al., 2013). Considering the two variables associated with the lanes of the streets [V₂₄: Number of service lanes in the city entrance direction and V₃₄: Number of entrance crossings in the city entrance direction], the presence of service lanes is related to those streets with a high volume of road traffic or with a presence of heavy vehicles due to

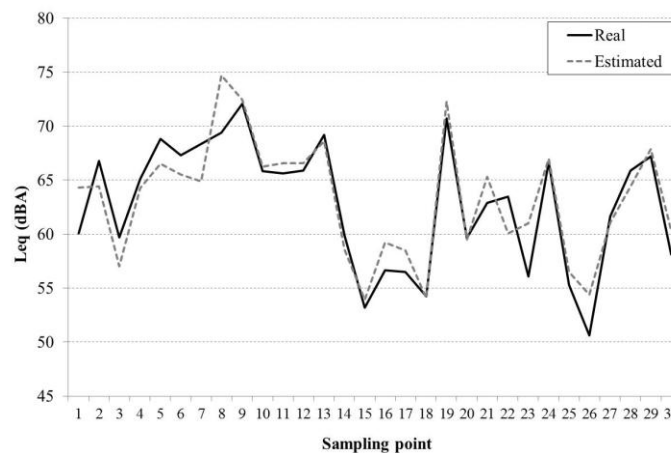
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4 urban land use (i.e. commercial or industrial areas). The fact that the two variables
5 included in the model correspond to the entrance direction and not to the exit direction
6 could be indicative of some failure related to traffic in the urban planning of the city.
7 Finally, the inclusion of the variable “pavement surface ‘good condition’” (V_{45}) instead
8 of the other “pavement” variables seems to indicate a minor use and a minor speed of
9 streets with bad pavement conditions and, consequently, lower sound levels with respect
10 to the good pavement condition streets.
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14 Thirdly, the multiple linear regression model was validated for normality (Shapiro-Wilk
15 test) and homoscedasticity (Breusch-Pagan test) and with a linearity test (RESET test):
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- 17 • Shapiro-Wilk test: P-value = 0.05
 - 18 • Breusch-Pagan: P-value = 0.13
 - 19 • RESET test: P-value = 0.99
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21 These results presented a p-value > 0.05 , which allows concluding the mentioned validity
22 of the model. Additionally, the variables of the final regression model presented a VIF
23 value > 1.6 indicating no collinearity among them.
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26 Finally, once the regression model was validated, its prediction capacity was analyzed by
27 means of new noise measurements. Thus, 30 new streets were measured. These new
28 sampling points were also randomly selected. In Figure 1, a comparison among measured
29 noise level values and the values estimated from the model whose coefficients were
30 shown in Table 2 are presented.
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50 Figure 1. Comparison between measured noise levels and noise levels estimated with the
51 multiple regression model (Eq. 1).
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53 As can be seen in Figure 1, the estimated values are in good agreement with the measured
54 noise levels for the different streets. The average of the absolute values of the difference
55 among measured and estimated noise levels was 1.8 dBA, uncertainty similar to that
56 obtained for noise mapping with prediction software (Suárez & Barros, 2014). These
57 differences among measured and estimated noise levels were analyzed by using the
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4 Wilcoxon signed-rank test, obtaining a p-value = 0.38, which indicated that the mean
5 value of the difference did not present a significant difference with the zero value.
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8 9 **4. Conclusions**

10 A model for urban traffic noise based only on urban variables was developed.

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12 From the 135 variables initially selected, only 52 urban variables, those without problems
13 of collinearity, showed a significant correlation with noise level. Finally, with only eight
14 variables, a multiple regression model was achieved. This model explains 63% of the
15 urban noise variability.
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19 The eight variables included in the final regression model are: (a) three associated with
20 urban land use (educational, residential and commercial use), (b) one associated with the
21 urban structure (the street width), (c) one associated with the pavement and (d) three
22 associated with the urban planning of the city (traffic lights and street lane numbers and
23 uses).
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26 Some of the variables were divided considering the direction of traffic (two directions
27 were considered: entrance and exit of the city). In the final regression model, only
28 variables of one direction (entrance) are relevant. This fact is interesting as it could be
29 indicative of some problems in the urban planning of the city. Further studies seem to be
30 necessary.
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33 The proposed methodology could be applied to any other city and could allow obtaining
34 different specific regression models of other cities. These regression models could
35 provide information about the strengths and the weaknesses of the urban planning of the
36 studied cities.
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39 40 **Acknowledgements**

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42 The authors wish to thank the Government of Extremadura, the Regional Ministry of
43 Economy, Trade and Innovation (GR10175), the European Social Fund, the European
44 Regional Development Fund (ERDF) and the Ministry of Economy and Competitiveness
45 (TRA2012-37117) for funding the project. This work was also partially supported by the
46 National Commission for Scientific and Technological Research (CONICYT) through
47 the National Fund for Scientific and Technological Development (FONDECYT) for
48 research initiation N° 11140043.
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